

EXPOSURE DRAFT

2013-2014

The Parliament of the
Commonwealth of Australia

HOUSE OF REPRESENTATIVES/THE SENATE

EXPOSURE DRAFT (16/01/2014)

Intellectual Property Laws Amendment Bill 2014

No. , 2014

(Industry)

**A Bill for an Act to amend legislation relating to
intellectual property, and for related purposes**

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1
2 **A Bill for an Act to amend legislation relating to**
3 **intellectual property, and for related purposes**

4 The Parliament of Australia enacts:

5 **1 Short title**

6 This Act may be cited as the *Intellectual Property Laws*
7 *Amendment Act 2014*.

8 **2 Commencement**

- 9 (1) Each provision of this Act specified in column 1 of the table
10 commences, or is taken to have commenced, in accordance with
11 column 2 of the table. Any other statement in column 2 has effect
12 according to its terms.
13

Commencement information		
Column 1	Column 2	Column 3
Provision(s)	Commencement	Date/Details
1. Sections 1 to 3 and anything in this Act not elsewhere covered by this table	The day this Act receives the Royal Assent.	
2. Schedule 1	The start of the day after the end of the period of 6 months beginning on the day this Act receives the Royal Assent.	
3. Schedule 2	The later of: (a) immediately after the start of the day after the end of the period of 6 months beginning on the day this Act receives the Royal Assent; and (b) immediately after Article 31bis of the Agreement on Trade-Related Aspects of Intellectual Property Rights set out in Annex 1C to the Marrakesh Agreement	

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Commencement information		
Column 1	Column 2	Column 3
Provision(s)	Commencement	Date/Details
	<p>Establishing the World Trade Organization, done at Marrakesh on 15 April 1994, comes into force for Australia.</p> <p>However, the provision(s) do not commence at all if the event mentioned in paragraph (b) does not occur.</p> <p>The Minister administering the <i>Patents Act 1990</i> must announce by notice in the Gazette the day the event mentioned in paragraph (b) occurs.</p>	
4. Schedule 3	The day after the end of the period of 6 months beginning on the day this Act receives the Royal Assent.	
5. Schedule 4	A single day to be fixed by Proclamation. However, if the provision(s) do not commence within the period of 24 months beginning on the day this Act receives the Royal Assent, the provision(s) are repealed on the day after the end of that period.	
6. Schedule 5, Part 1	The day after this Act receives the Royal Assent.	
7. Schedule 5, item 6	Immediately after the commencement of item 32 of Schedule 6 to the <i>Intellectual Property Laws Amendment (Raising the Bar) Act 2012</i> .	15 April 2013
8. Schedule 5, items 7 to 15	A single day to be fixed by Proclamation. However, if the provision(s) do not commence within the period of 6 months beginning on the day this Act receives the Royal Assent, they commence on the day after the end of that period.	
9. Schedule 5, item 16	Immediately after the commencement of item 32 of Schedule 6 to the <i>Intellectual Property Laws Amendment (Raising the Bar) Act 2012</i> .	15 April 2013

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Commencement information

Column 1	Column 2	Column 3
Provision(s)	Commencement	Date/Details
10. Schedule 5, items 17 to 19	A single day to be fixed by Proclamation. However, if the provision(s) do not commence within the period of 6 months beginning on the day this Act receives the Royal Assent, they commence on the day after the end of that period.	
11. Schedule 5, item 20	The day this Act receives the Royal Assent.	

1 Note: This table relates only to the provisions of this Act as originally
2 enacted. It will not be amended to deal with any later amendments of
3 this Act.

4 (2) Any information in column 3 of the table is not part of this Act.
5 Information may be inserted in this column, or information in it
6 may be edited, in any published version of this Act.

7 **3 Schedule(s)**

8 Each Act that is specified in a Schedule to this Act is amended or
9 repealed as set out in the applicable items in the Schedule
10 concerned, and any other item in a Schedule to this Act has effect
11 according to its terms.

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

1 **Schedule 1—TRIPS Protocol interim waiver**

2 **Part 1—Amendments**

3 *Patents Act 1990*

4 **1 Section 3 (list of definitions)**

5 Omit “compulsory licence”.

6 **2 Section 3 (list of definitions)**

7 Insert “eligible importing country”.

8 **3 Section 3 (list of definitions)**

9 Insert “patented pharmaceutical invention”.

10 **4 Section 3 (list of definitions)**

11 Insert “pharmaceutical product”.

12 **5 Section 3 (list of definitions)**

13 Insert “PPI”.

14 **6 Section 3 (list of definitions)**

15 Insert “PPI compulsory licence”.

16 **7 Section 3 (list of definitions)**

17 Insert “PPI order”.

18 **8 Section 3 (list of definitions)**

19 Insert “PPI order applicant”.

20 **9 Section 3 (list of definitions)**

21 Insert “TRIPS Agreement”.

22 **10 Section 3 (list of definitions)**

23 Insert “WTO General Council decision of 30 August 2003”.

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

1 **11 Before subsection 70(5)**

2 Insert:

3 *Meaning of first regulatory approval date*

4 **12 After subsection 70(5)**

5 Insert:

6 (5A) For the purposes of paragraph (5)(a), disregard an inclusion in the
7 Australian Register of Therapeutic Goods of goods that contain, or
8 consist of, a pharmaceutical substance if the inclusion was sought
9 for the sole purpose of exporting the goods from Australia to
10 address a public health problem in an eligible importing country:

11 (a) in circumstances of national emergency or other
12 circumstances of extreme urgency; or

13 (b) by the public non-commercial use of the goods.

14 Note: This subsection also applies in relation to an application for an
15 extension of the term of a standard patent (see paragraph 71(2)(b)).

16 *Meaning of pre-TGA marketing approval*

17 **13 At the end of paragraph 71(2)(b)**

18 Add “, as worked out under subsection 70(5A) (if applicable)”.

19 **14 Before section 133**

20 Insert:

21 **Part 1—Introduction**

22

23 **132A Simplified outline of this Chapter**

24 This Chapter provides for court orders requiring the grant of
25 compulsory licences in respect of patented inventions.

26 Special provision is made for compulsory licences to exploit
27 patented pharmaceutical inventions. This is to enable the
28 manufacture of a pharmaceutical product in Australia for export to

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

1

an eligible importing country, to address public health problems in that country.

2

3

This Chapter also provides generally for the surrender of patents, and for court orders revoking patents.

4

5

Part 2—Compulsory licences (general)

6

7

132B Simplified outline of this Part

8

The Federal Court may make an order under this Part requiring the grant of a compulsory licence to work a patented invention.

9

10

The court may order a compulsory licence to be granted if the reasonable requirements of the public are not being met with respect to a patented invention.

11

12

13

The reasonable requirements of the public relate, broadly speaking, to whether Australian trade or industry is unreasonably affected by the actions of the patentee in relation to the manufacture or licensing of the invention (or the carrying on of a patented process).

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The court may also order a compulsory licence to be granted if the patentee has engaged in restrictive trade practices in connection with the patent under the *Competition and Consumer Act 2010* or under an application law (within the meaning of that Act).

19

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22

The court may order a patent to be revoked after an order for a compulsory licence has been made (on the same grounds that apply to an order for a compulsory licence).

23

24

25

The patentee must be paid an agreed amount of remuneration, or an amount of remuneration determined by the court.

26

27

15 Section 133 (heading)

28

Repeal the heading, substitute:

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

1 **133 Compulsory licences—general**

2 **16 At the end of subsection 133(1)**

3 Add:

4 Note: For compulsory licences for the manufacture and export of patented
5 pharmaceutical inventions to eligible importing countries, see Part 3.
6 However, Part 3 does not prevent a compulsory licence from being
7 ordered under this Part in relation to such an invention (see
8 section 136C).

9 **17 Section 134 (heading)**

10 Repeal the heading, substitute:

11 **134 Revocation of patent after grant of compulsory licence under**
12 **section 133**

13 **18 Subsection 134(1)**

14 After “compulsory licence”, insert “ordered under section 133”.

15 **19 After section 136A**

16 Insert:

17 **Part 3—Patented pharmaceutical invention**
18 **compulsory licences (for manufacture and**
19 **export to eligible importing countries)**

20 **Division 1—Introduction**

21 **136B Simplified outline of this Part**

22 The Federal Court may make an order under this Part requiring the
23 grant of a compulsory licence to exploit a patented pharmaceutical
24 invention for manufacture and export to an eligible importing
25 country.

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

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The court may order a compulsory licence to be granted if the proposed use of the pharmaceutical product is to address a public health issue in the eligible importing country:

- (a) in a national emergency (or other extremely urgent circumstances); or
- (b) by the public non-commercial use of the product.

The order may be amended or revoked by another order of the court.

The patentee must be paid an agreed amount of remuneration, or an amount of remuneration determined by the court.

11

136C Relationship between Parts 2 and 3

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This Part does not prevent a compulsory licence from being ordered under Part 2 in relation to a patented pharmaceutical invention.

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Division 2—Patented pharmaceutical invention compulsory licences

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136D PPI compulsory licences—applications for orders

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Application for order

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- (1) A person (the ***PPI order applicant***) may apply to the Federal Court for an order (the ***PPI order***) under section 136E requiring the patentee of a patented pharmaceutical invention to grant the PPI order applicant a licence (a ***PPI compulsory licence***) to exploit the invention to the extent necessary for the purposes of manufacturing a pharmaceutical product in Australia for export to an eligible importing country.

26
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Note 1: A patented pharmaceutical invention may be a patented product or a patented process: see the definition of ***patented pharmaceutical invention*** in Schedule 1.

Note 2: For remuneration in respect of a licence, see section 136J.

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

- 1 (2) However, a person cannot apply for an order in respect of an
2 innovation patent unless the patent has been certified.

3 *Statement—eligible importing country*

- 4 (3) An application must include a copy of a statement made by or on
5 behalf of, and with the authorisation of, the eligible importing
6 country to the effect that it will take reasonable measures within its
7 means, proportionate to its administrative capacities and to the risk
8 of trade diversion, to prevent re-exportation from its territory of a
9 pharmaceutical product imported into its territory in accordance
10 with a PPI compulsory licence.

11 *Statement—importer*

- 12 (4) If the pharmaceutical product is to be imported on behalf of, and
13 with the authorisation of, the eligible importing country, an
14 application must also include a copy of a statement made by the
15 importer to the effect that it will take reasonable measures within
16 its means to prevent the pharmaceutical product from being used
17 other than in accordance with a PPI compulsory licence.

18 *Parties*

- 19 (5) The following are parties to proceedings on an application under
20 this section:
21 (a) the PPI order applicant;
22 (b) the patentee;
23 (c) any person claiming an interest in the patent as exclusive
24 licensee or otherwise;
25 (d) at the option of the eligible importing country—that country.

26 **136E PPI compulsory licences—orders**

- 27 (1) After hearing an application for a PPI order under section 136D,
28 the Federal Court may, subject to this Part, make the order sought
29 if the court is satisfied of all of the following matters:
30 (a) the application is made in good faith;
31 (b) the pharmaceutical product is to be imported:
32 (i) by the eligible importing country; or

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

- 1 (ii) by a person (the *third party importer*) on behalf of, and
2 with the authorisation of, the eligible importing country;
- 3 (c) the proposed use of the pharmaceutical product is to address
4 a public health problem in the eligible importing country:
- 5 (i) in circumstances of national emergency or other
6 circumstances of extreme urgency; or
- 7 (ii) in other circumstances—by the public non-commercial
8 use of the pharmaceutical product;
- 9 (d) exploiting the patented pharmaceutical invention is necessary
10 to enable the import and proposed use of the pharmaceutical
11 product as mentioned in paragraphs (b) and (c);
- 12 (e) if subparagraph (c)(ii) applies:
- 13 (i) the PPI order applicant has given the patentee a notice
14 in the approved form seeking from the patentee an
15 authorisation to exploit the patented pharmaceutical
16 invention for public non-commercial use; and
- 17 (ii) during the 30 days beginning when the notice was
18 given, the PPI order applicant has tried, without success,
19 to obtain such an authorisation from the patentee on
20 reasonable terms and conditions;
- 21 (f) the notification requirements prescribed by regulation in
22 relation to the importation of the pharmaceutical product into
23 the eligible importing country have been complied with;
- 24 (g) the PPI order applicant, the eligible importing country and, if
25 there is a third party importer, that importer, will take
26 reasonable measures to prevent a pharmaceutical product that
27 is exported from Australia in accordance with a PPI
28 compulsory licence from being used for a purpose other than
29 the purpose of addressing the public health problem
30 mentioned in paragraph (c).
- 31 (2) Without limiting the matters that the court may take into account in
32 deciding whether it is satisfied of a matter mentioned in
33 subsection (1), the court must take into account any matters
34 prescribed by regulation.
- 35 (3) A regulation made for the purposes of paragraph (1)(f) may:
- 36 (a) without limiting subsection 33(3A) of the *Acts Interpretation*
37 *Act 1901*, prescribe different notification requirements for the
-

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

- 1 importation of pharmaceutical products into eligible
2 importing countries of different kinds; and
3 (b) despite subsection 14(2) of the *Legislative Instruments Act*
4 *2003*, refer to eligible importing countries (or different kinds
5 of eligible importing countries) by applying, adopting or
6 incorporating, with or without modification, any matter
7 contained in any other instrument or other writing as in force
8 or existing from time to time.

9 **136F PPI compulsory licences—terms**

- 10 (1) A PPI order must direct that the PPI compulsory licence is granted
11 on the following terms:
12 (a) no more than the quantity of the pharmaceutical product that
13 is determined by the Federal Court to be necessary to meet
14 the needs of the eligible importing country is manufactured;
15 (b) the entirety of the pharmaceutical product manufactured for
16 that purpose is exported to that country;
17 (c) the pharmaceutical product is labelled and marked in
18 accordance with the regulations;
19 (d) before shipment of the pharmaceutical product begins, the
20 shipment information prescribed by regulation is made
21 available on a website by, or on behalf of, the licensee for a
22 minimum period prescribed by regulation;
23 (e) the duration of the licence is only for the period of time
24 determined by the Federal Court to be necessary to address
25 the public health problem concerned;
26 (f) the licence does not give the licensee, or a person authorised
27 by the licensee, the exclusive right to exploit the patented
28 pharmaceutical invention;
29 (g) the licence is to be assignable only in connection with an
30 enterprise or goodwill in connection with which the licence is
31 used;
32 (h) the licensee must give the Commissioner the information
33 prescribed by regulation in relation to the licence in
34 accordance with the regulations.
- 35 (2) A PPI order may also direct that the licence is to be granted on any
36 other terms specified in the order, including terms covering:

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

- 1 (a) other requirements relating to the labelling and marking of
2 the pharmaceutical product; and
3 (b) other information to be made available by the licensee and
4 the way in which it is to be made available.
- 5 (3) However, a term specified in a PPI order must not be inconsistent
6 with any regulations prescribed for the purposes of
7 paragraph (1)(c), (d) or (h).

8 **136G PPI compulsory licences—amendment**

9 *Application for order*

- 10 (1) A person may apply to the Federal Court for an order amending
11 any of the following terms of a PPI compulsory licence:
12 (a) the quantity of the pharmaceutical product concerned;
13 (b) how the pharmaceutical product is labelled and marked;
14 (c) the duration of the licence;
15 (d) the information that is to be made available by the licensee
16 and the way it is to be made available.

17 Note: For remuneration in respect of the licence as amended, see
18 section 136J.

19 *Order*

- 20 (2) The court may make the order sought in relation to a term if it is
21 satisfied that:
22 (a) it is just to do so in all the circumstances; and
23 (b) the legitimate interests of the following are not likely to be
24 adversely affected by the amendment of the term:
25 (i) the patentee;
26 (ii) any person claiming an interest in the patent as
27 exclusive licensee or otherwise;
28 (iii) the licensee;
29 (iv) the eligible importing country.
- 30 (3) However, an amended term must not be inconsistent with any
31 regulations prescribed for the purposes of paragraph 136F(1)(c),
32 (d) or (h).

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

1

Parties

2

(4) The following are parties to any proceedings under this section:

3

(a) the applicant under subsection (1);

4

(b) the patentee;

5

(c) any person claiming an interest in the patent as exclusive licensee or otherwise;

6

7

(d) the licensee;

8

(e) at the option of the eligible importing country—that country.

9

136H PPI compulsory licences—revocation

10

Application

11

(1) A person may apply to the Federal Court for an order revoking a PPI compulsory licence.

12

13

Note: For remuneration in respect of the use of a PPI compulsory licence while it is in force, see section 136J.

14

15

Federal Court may revoke licence

16

(2) The Federal Court may make the order sought if the court is satisfied that:

17

18

(a) one or more of the following applies:

19

(i) the substantive circumstances that justified the grant of the licence have ceased to exist and are unlikely to recur;

20

21

22

(ii) the licensee has not complied with the terms of the licence;

23

24

(iii) if an amount of remuneration has been agreed or determined under section 136J—the amount has not been paid within the time agreed or determined; and

25

26

27

(b) the legitimate interests of the licensee or the eligible importing country are not likely to be adversely affected by the revocation.

28

29

30

Parties

31

(3) The following are parties to any proceedings under this section:

32

(a) the applicant for revocation;

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

- 1 (b) the licensee;
2 (c) at the option of the eligible importing country—that country.

3 **Division 3—Remuneration**

4 **136J PPI compulsory licences—remuneration**

5 *Working out amount of remuneration*

- 6 (1) The patentee is to be paid an amount agreed or determined under
7 subsection (3) in respect of the use of a patented pharmaceutical
8 invention authorised by a PPI compulsory licence.
- 9 (2) For the purposes of subsection (1), the use of a patented
10 pharmaceutical invention authorised by the PPI compulsory licence
11 is:
12 (a) while it is in force—the use authorised by the licence as
13 granted and as amended (from time to time) under
14 section 136G; or
15 (b) if it has ceased to be in force (whether because it was
16 revoked or otherwise)—the actual use of the patented
17 pharmaceutical invention under the licence while it was in
18 force.
- 19 (3) For the purposes of subsection (1), the amount is:
20 (a) an amount agreed between the patentee and the PPI order
21 applicant, licensee or former licensee (as the case requires);
22 or
23 (b) if paragraph (a) does not apply—an amount determined by
24 the Federal Court to be adequate remuneration taking into
25 account the economic value to the eligible importing country
26 of the use of the patented pharmaceutical invention
27 authorised by the PPI compulsory licence.

28 *Application to make or amend a determination*

- 29 (4) A person may apply to the Federal Court:
30 (a) to make a determination under paragraph (3)(b); or
31 (b) to amend a determination made under that paragraph.

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

1 Note: Grounds for an application under paragraph (b) may include the fact
2 that the terms of the PPI compulsory licence have been amended, or
3 the licence has been revoked.

4 *Parties*

- 5 (5) The following are parties to any proceedings under this section:
6 (a) the applicant for the determination or the amendment of the
7 determination;
8 (b) the PPI order applicant;
9 (c) the licensee;
10 (d) the patentee of the patented pharmaceutical invention;
11 (e) any person claiming an interest in the patent as exclusive
12 licensee or otherwise.

13 *Can PPI be exploited if remuneration is not agreed or determined?*

14 (6) To avoid doubt, if the proposed use of the pharmaceutical product
15 is to address a public health problem in the eligible importing
16 country in circumstances of national emergency or other
17 circumstances of extreme urgency, the licensee may exploit a
18 patented pharmaceutical invention under a PPI compulsory licence,
19 as granted or amended (as the case may be), whether or not an
20 amount has been agreed or determined under this section.

21 (7) However, if the proposed use of the pharmaceutical product is to
22 address a public health problem in the eligible importing country in
23 other circumstances, by the public non-commercial use of the
24 pharmaceutical product, the licensee must not exploit a patented
25 pharmaceutical invention under a PPI compulsory licence unless an
26 amount has been agreed or determined under this section.

27 *Can PPI compulsory licence be revoked if remuneration is not* 28 *agreed or determined?*

29 (8) To avoid doubt, a PPI compulsory licence may be revoked whether
30 or not an amount has been agreed or determined under this section.

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

1 **Division 4—General**

2 **136K PPI compulsory licences—nature of orders**

3 Without prejudice to any other method of enforcement, a PPI order
4 operates as if it were embodied in a deed granting or amending a
5 licence and executed by the patentee and all other necessary
6 parties.

7 **136L PPI compulsory licences—consistency of orders with**
8 **international agreements**

9 A PPI order must not be made that is inconsistent with a treaty
10 between the Commonwealth and a foreign country.

11 **136M PPI compulsory licences—applications heard together**

12 Nothing in this Part prevents the Federal Court from dealing with
13 the following applications together:

- 14 (a) applications for different PPI orders, or for the amendment or
15 revocation of such orders;
16 (b) applications for determinations under paragraph 136J(3)(b)
17 for remuneration in relation to different PPI compulsory
18 licences, or for the amendment of such determinations.

19 **Part 4—Surrender and revocation of patents**
20

21 **136N Simplified outline of this Part**

22 A patentee may offer to surrender a patent by giving the
23 Commissioner written notice.

24 The Commissioner may accept the offer of surrender, and revoke
25 the patent, after hearing all interested parties. If court proceedings
26 are pending in relation to the patent, leave of the court, or the
27 consent of the parties, is required. The Commissioner must not
28 accept the offer if a compulsory licence is in force in relation to the
29 patent.

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

1 In addition, a court may revoke a patent on the following grounds:

- 2 (a) the patentee is not entitled to the patent;
3 (b) the invention is not a patentable invention;
4 (c) the patent was (broadly speaking) improperly obtained;
5 (d) the patent was (broadly speaking) obtained on the basis
6 of a non-compliant specification.

7 **20 Subsection 137(5)**

8 Omit “compulsory licence”, substitute “licence ordered under Part 2”.

9 **21 After section 138**

10 Insert:

11 **Part 5—Other matters**
12

13 **138A Simplified outline of this Part**

14 This Part deals with the parties to proceedings under this Chapter
15 (other than proceedings under Part 3).

16 This Part also enables the Commissioner to appear and be heard in
17 all proceedings under this Chapter.

18 **22 At the end of subsection 139(1)**

19 Add:

20 Note: See Part 3 for details of parties to proceedings under that Part.

21 **23 Subsection 139(2)**

22 Omit “section 133, 134 or 138”, substitute “this Chapter”.

23 **24 At the end of subsection 228(1)**

24 Add:

25 ; and (f) for the purpose of carrying out or giving effect to the WTO
26 General Council decision of 30 August 2003.

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Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

1 **25 After subsection 228(4)**

2 Insert:

3 (5) Despite subsection 14(2) of the *Legislative Instruments Act 2003*,
4 regulations made for the purposes of the definition of *eligible*
5 *importing country* in Schedule 1 may make provision in relation to
6 a matter by applying, adopting or incorporating, with or without
7 modification, any matter contained in any other instrument or other
8 writing as in force or existing from time to time.

9 **26 Schedule 1 (definition of *compulsory licence*)**

10 Repeal the definition.

11 **27 Schedule 1**

12 Insert:

13 *eligible importing country* means a foreign country of a kind
14 prescribed by regulation.

15 Note: A regulation made for the purposes of this definition may make
16 provision in relation to a matter by applying, adopting or
17 incorporating, with or without modification, any matter contained in
18 any other instrument or other writing as in force or existing from time
19 to time (see subsection 228(5)).

20 **28 Schedule 1**

21 Insert:

22 *patented pharmaceutical invention*, in relation to a pharmaceutical
23 product, means:

- 24 (a) if the product is a patented product—the patented product; or
25 (b) if the product results from the use of a patented process—the
26 patented process.

27 **29 Schedule 1**

28 Insert:

29 *pharmaceutical product* means any patented product, or product
30 manufactured through a patented process, of the pharmaceutical
31 sector.

32 Example: Examples of a pharmaceutical product include:

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TRIPS Protocol interim waiver **Schedule 1**
Amendments **Part 1**

- 1 (a) active ingredients necessary for manufacturing such a product;
2 and
3 (b) diagnostic kits needed for using such a product.

4 **30 Schedule 1**

5 Insert:

6 *PPI* is short for patented pharmaceutical invention.

7 **31 Schedule 1**

8 Insert:

9 *PPI compulsory licence* has the meaning given by section 136D.

10 **32 Schedule 1**

11 Insert:

12 *PPI order* has the meaning given by section 136D.

13 **33 Schedule 1**

14 Insert:

15 *PPI order applicant* has the meaning given by section 136D.

16 **34 Schedule 1**

17 Insert:

18 *TRIPS Agreement* means the Agreement on Trade-Related
19 Aspects of Intellectual Property Rights set out in Annex 1C to the
20 Marrakesh Agreement establishing the World Trade Organization,
21 done at Marrakesh on 15 April 1994, as Annex 1C is in force for
22 Australia from time to time.

23 Note: The WTO Agreement is in Australian Treaty Series 1995 No. 8
24 ([1995] ATS 8) and could in 2014 be viewed in the Australian
25 Treaties Library on the AustLII website (<http://www.austlii.edu.au>).

26 **35 Schedule 1**

27 Insert:

28 *WTO General Council decision of 30 August 2003* means the
29 decision of the World Trade Organization General Council of

EXPOSURE DRAFT

Schedule 1 TRIPS Protocol interim waiver

Part 1 Amendments

1 30 August 2003 (including the Annex to the decision) on the
2 implementation of paragraph 6 of the Doha Declaration on the
3 TRIPS Agreement and public health.

4 Note: The decision could in 2014 be viewed on the World Trade
5 Organization website (<http://www.wto.org>).

EXPOSURE DRAFT

TRIPS Protocol interim waiver **Schedule 1**
Application **Part 2**

1 **Part 2—Application**

2 **36 Application of amendments**

3 (1) The amendments of the *Patents Act 1990* made by this Schedule apply
4 in relation to patents granted before, on and after the commencement of
5 this Schedule.

6 (2) The amendments of sections 70 and 71 of the *Patents Act 1990* made by
7 this Schedule apply in relation to an application that is made on or after
8 the commencement of this Schedule to include a pharmaceutical
9 substance in the Australian Register of Therapeutic Goods.

EXPOSURE DRAFT

Schedule 2 TRIPS Protocol: later commencing amendments

1 **Schedule 2—TRIPS Protocol: later**
2 **commencing amendments**
3

4 ***Patents Act 1990***

5 **1 Section 3 (list of definitions)**

6 Omit “WTO General Council decision of 30 August 2003”.

7 **2 Paragraph 228(1)(f)**

8 Omit “WTO General Council decision of 30 August 2003”, substitute
9 “TRIPS Agreement”.

10 **3 Schedule 1 (definition of *WTO General Council decision of***
11 ***30 August 2003*)**

12 Repeal the definition.

1 **Schedule 3—Plant Breeder's Rights Act 1994:**
2 **Federal Circuit Court**
3

4 *Plant Breeder's Rights Act 1994*

5 **1 Subsection 3(1) (definition of *Court*)**

6 Repeal the definition.

7 **2 Subsection 3(1)**

8 Insert:

9 *Federal Circuit Court* means the Federal Circuit Court of
10 Australia.

11 **3 Subsection 3(1)**

12 Insert:

13 *Federal Court* means the Federal Court of Australia.

14 **4 Subsection 39(5)**

15 Repeal the subsection, substitute:

16 (5) Nothing in this section affects the power of:

17 (a) the Federal Court, or a Judge of that Court, under
18 subsection 44A(2) of the AAT Act; or

19 (b) the Federal Circuit Court, or a Judge of that Court, under
20 subsection 44A(2A) of that Act;

21 where an appeal is begun in that court from a decision of the AAT.

22 **5 Subsection 50(7)**

23 Repeal the subsection, substitute:

24 (7) Nothing in this section affects the power of:

25 (a) the Federal Court, or a Judge of that Court, under
26 subsection 44A(2) of the AAT Act; or

27 (b) the Federal Circuit Court, or a Judge of that Court, under
28 subsection 44A(2A) of that Act.

EXPOSURE DRAFT

Schedule 3 Plant Breeder's Rights Act 1994: Federal Circuit Court

1 **6 Subsection 54(1)**

2 Omit "Court", substitute "Federal Court or the Federal Circuit Court".

3 **7 Subsections 54(3) and (4)**

4 Omit "Court" (wherever occurring), substitute "court".

5 **8 Subsection 55(1)**

6 Omit "Court", substitute "Federal Court or the Federal Circuit Court".

7 **9 Subsections 55(3) and (4)**

8 Omit "Court", substitute "court".

9 **10 Section 56 (heading)**

10 Repeal the heading, substitute:

11 **56 Jurisdiction of the Federal Court**

12 **11 Subsection 56(1)**

13 Omit "Court" (wherever occurring), substitute "Federal Court".

14 **12 At the end of subsection 56(1)**

15 Add:

16 Note: A matter may also be transferred to the Federal Court from the Federal
17 Circuit Court: see section 39 of the *Federal Circuit Court of Australia*
18 *Act 1999*.

19 **13 Subsection 56(2)**

20 Repeal the subsection, substitute:

21 (2) That jurisdiction is exclusive of the jurisdiction of all other courts
22 other than the jurisdiction of:

23 (a) the Federal Circuit Court under subsection 56A(2); and

24 (b) the High Court under section 75 of the Constitution.

25 **14 Subsection 56(3)**

26 Omit "Court" (wherever occurring), substitute "Federal Court".

EXPOSURE DRAFT

Plant Breeder's Rights Act 1994: Federal Circuit Court **Schedule 3**

1 **15 Subsection 56(4)**

2 Omit “Court”, substitute “Federal Court”.

3 **16 Subsection 56(5)**

4 Omit “the Court”, substitute “the Federal Court”.

5 **17 Subsection 56(5)**

6 Omit “rules”, substitute “Rules”.

7 Note: This item fixes a typographical error.

8 **18 After section 56**

9 Insert:

10 **56A Jurisdiction of Federal Circuit Court**

11 (1) The Federal Circuit Court has jurisdiction with respect to matters
12 in which actions may, under this Part, be begun in the Federal
13 Circuit Court.

14 Note: A matter may also be transferred to the Federal Circuit Court from the
15 Federal Court: see section 32AB of the *Federal Court of Australia Act*
16 *1976*.

17 (2) That jurisdiction is exclusive of the jurisdiction of all other courts,
18 other than the jurisdiction of:

- 19 (a) the Federal Court under subsection 56(2) of this Act; and
20 (b) the High Court under section 75 of the Constitution.

21 (3) The relief that the Federal Circuit Court may grant in an action or
22 proceeding for infringement of PBR includes an injunction (subject
23 to such terms, if any, as the Federal Circuit Court thinks fit) and, at
24 the option of the plaintiff, either damages or an account of profits.

25 (4) The regulations may make provision in relation to the practice and
26 procedure of the Federal Circuit Court in actions under this Act,
27 including provision prescribing the time within which any action
28 may be begun, or any other act or thing may be done, and
29 providing for the extension of any such time.

30 (5) Subsection (4) does not limit the power of the Judges of the
31 Federal Circuit Court, or a majority of them, to make Rules of

EXPOSURE DRAFT

Schedule 3 Plant Breeder's Rights Act 1994: Federal Circuit Court

1 Court under section 81 of the *Federal Circuit Court of Australia*
2 *Act 1999* that are consistent with the regulations referred to in that
3 subsection.

4 **19 Subsection 57(1)**

5 Omit "The Court", substitute "A court".

6 **20 Subsection 57(1)**

7 Omit "the Court", substitute "the court".

8 **21 Section 72**

9 Omit "the High Court Rules and the Federal Court Rules", substitute
10 "Rules of Court of the High Court, the Federal Court or the Federal
11 Circuit Court".

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

1 **Schedule 4—Australia New Zealand Single**
2 **Economic Market**

3 **Part 1—Amendments**

4 *Designs Act 2003*

5 **1 Section 145**

6 Before “Where”, insert “(1)”.

7 **2 Section 145**

8 After “Australia”, insert “or New Zealand”.

9 **3 Section 145**

10 Omit “post”, substitute “a prescribed means”.

11 **4 At the end of section 145**

12 Add:

13 (2) After the time specified in the regulations, a reference in this
14 section to an *address* includes a reference to an electronic address.

15 (3) The time specified under subsection (2) must be later than the day
16 on which the regulations are registered under the *Legislative*
17 *Instruments Act 2003*.

18 (4) For the purposes of this section, the question of whether an
19 electronic address is in Australia is to be determined in accordance
20 with the regulations.

21 (5) For the purposes of this section, the question of whether an
22 electronic address is in New Zealand is to be determined in
23 accordance with the regulations.

24 *Patents Act 1990*

25 **5 Section 3 (list of definitions)**

26 Insert “Board”.

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

1 **6 Section 3 (list of definitions)**

2 Insert “Director-General of IP Australia”.

3 **7 Section 3 (list of definitions)**

4 Insert “New Zealand Assistant Commissioner of Patents”.

5 **8 Section 3 (list of definitions)**

6 Insert “New Zealand Commissioner of Patents”.

7 **9 Section 3 (list of definitions)**

8 Insert “New Zealand delegate”.

9 **10 Section 3 (list of definitions)**

10 Insert “New Zealand Patents Minister”.

11 **11 Section 3 (list of definitions)**

12 Insert “New Zealand patents official”.

13 **12 Section 3 (list of definitions)**

14 Omit “Professional Standards Board”.

15 **13 Section 3 (list of definitions)**

16 Insert “Registrar of Companies of New Zealand”.

17 **14 Subsection 20(2)**

18 Omit “or an employee,”, substitute “an employee, or a New Zealand
19 delegate,”.

20 **15 At the end of section 20**

21 Add:

22 (3) For the purposes of this section, it is immaterial whether an act was
23 done in New Zealand.

24 **16 At the end of section 183**

25 Add:

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

- 1 (3) The Designated Manager may disclose to the Registrar of
2 Companies of New Zealand information (including personal
3 information within the meaning of the *Privacy Act 1988*) that is:
4 (a) relevant to the functions conferred on the Registrar of
5 Companies of New Zealand by or under the Companies Act
6 1993 of New Zealand; and
7 (b) obtained by the Designated Manager as a result of the
8 performance of functions and duties, or the exercise of
9 powers, in relation to incorporated patent attorneys.
- 10 (4) For the purposes of subsection (3), it is immaterial whether the
11 disclosure takes place in New Zealand.
- 12 (5) The Commissioner may disclose to a New Zealand delegate
13 information (including personal information within the meaning of
14 the *Privacy Act 1988*) that is relevant to the exercise of the powers,
15 or the performance of the functions, delegated to the New Zealand
16 delegate under subsection 209(1A).
- 17 (6) For the purposes of subsection (5), it is immaterial whether the
18 disclosure takes place in New Zealand.

19 **17 Section 185**

20 Repeal the section.

21 **18 Paragraph 198(4)(a)**

22 Repeal the paragraph.

23 **19 Subsection 198(5)**

24 Omit “Professional Standards Board”, substitute “Board”.

25 **20 Subsections 198(7) and (8)**

26 Repeal the subsections, substitute:

- 27 (7) A reference in this section to *conviction* of an offence includes a
28 reference to:
29 (a) the making of an order under section 19B of the *Crimes Act*
30 *1914* in relation to the offence; or
31 (b) the making of an order under a corresponding provision of a
32 law of:

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

- 1 (i) a State; or
2 (ii) a Territory; or
3 (iii) New Zealand;
4 in relation to the offence.

21 At the end of section 198

5 Add:

6 *New Zealand*

- 7 (12) It is immaterial whether a matter mentioned in:
8 (a) paragraph (4)(b), (c), (d), (e), (f) or (g); or
9 (b) subsection (5); or
10 (c) paragraph (9)(a), (b) or (c); or
11 (d) paragraph (11)(b);
12 concerns something that happened in New Zealand.
13

22 Section 199

14 Before “The name”, insert “(1)”.

23 At the end of section 199

15 Add:

- 16 (2) It is immaterial whether the prescribed grounds concern something
17 that happened in New Zealand.

24 Before subsection 209(1)

18 Insert:

19 *Delegation to employees*

25 After subsection 209(1)

20 Insert:

21 *Delegation to New Zealand patents officials*

- 22 (1A) The Commissioner may, by instrument, signed by him or her,
23 delegate all or any of the Commissioner’s powers or functions
24 under this Act to a New Zealand patents official.
25

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

1 (1B) A function or power delegated under subsection (1A) may be
2 performed or exercised by the delegate in New Zealand.

3 **26 Before subsection 209(2)**

4 Insert:

5 *Direction or supervision*

6 **27 Section 214**

7 Before “A document”, insert “(1)”.

8 **28 At the end of section 214**

9 Add:

10 (2) For the purposes of this Act, a prescribed document is taken to
11 have been filed with the Patent Office if the document is delivered
12 or given to:

13 (a) the New Zealand Commissioner of Patents; or

14 (b) a New Zealand Assistant Commissioner of Patents; or

15 (c) a person who, under a law of New Zealand, is a delegate of
16 the New Zealand Commissioner of Patents;

17 in a prescribed manner.

18 (3) The regulations may provide that a document filed with the Patent
19 Office because of subsection (2) is taken to have been so filed at
20 the time ascertained in accordance with the regulations.

21 **29 Section 221**

22 Before “Where”, insert “(1)”.

23 **30 Section 221**

24 After “Australia”, insert “or New Zealand”.

25 **31 Section 221**

26 Omit “post”, substitute “a prescribed means”.

27 **32 At the end of section 221**

28 Add:

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

- 1 (2) After the time specified in the regulations, a reference in this
2 section to an *address* includes a reference to an electronic address.
- 3 (3) The time specified under subsection (2) must be later than the day
4 on which the regulations are registered under the *Legislative*
5 *Instruments Act 2003*.
- 6 (4) For the purposes of this section, the question of whether an
7 electronic address is in Australia is to be determined in accordance
8 with the regulations.
- 9 (5) For the purposes of this section, the question of whether an
10 electronic address is in New Zealand is to be determined in
11 accordance with the regulations.

33 After paragraph 223(1)(b)

12 Insert:

- 13 (ba) a New Zealand delegate; or
14

34 After subsection 223(1)

15 Insert:

- 16 (1A) For the purposes of subsection (1), it is immaterial whether a
17 relevant act took place, or is to take place, in New Zealand.
18
- 19 (1B) For the purposes of subsection (1), it is immaterial whether an error
20 or omission took place in New Zealand.

35 After subsection 224(3)

21 Insert:

- 22 (3A) For the purposes of this section, it is immaterial whether a decision
23 was made in New Zealand.
24

36 Section 227 (heading)

25 Repeal the heading, substitute:
26

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

1 **227 Fees payable under this Act**

2 **37 At the end of section 227**

3 Add:

4 (6) For the purposes of this Act, if:

5 (a) a fee is declared by the regulations to be a fee to which this
6 subsection applies; and

7 (b) the fee is paid to:

8 (i) the New Zealand Commissioner of Patents; or

9 (ii) a New Zealand Assistant Commissioner of Patents; or

10 (iii) a person who, under a law of New Zealand, is a delegate
11 of the New Zealand Commissioner of Patents; and

12 (c) the New Zealand Commissioner of Patents, the New Zealand
13 Assistant Commissioner of Patents, or the delegate, as the
14 case may be, is authorised to receive the fee on behalf of the
15 Commonwealth; and

16 (d) the fee is paid in New Zealand currency;

17 then:

18 (e) the liability to pay the fee is discharged; and

19 (f) this Act has effect as if the fee had been paid in accordance
20 with the regulations.

21 (7) For the purposes of subsection (6), the amount of the fee in New
22 Zealand currency is to be ascertained in accordance with the
23 regulations.

24 **38 After section 227**

25 Insert:

26 **227AA Receipt of fees payable under New Zealand law**

27 The regulations may make provision for and in relation to
28 authorising:

29 (a) the Commissioner; or

30 (b) a Deputy Commissioner; or

31 (c) an employee;

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

1 to receive, on behalf of New Zealand, a specified fee payable under
2 a specified law of New Zealand that relates to patents for
3 inventions, so long as:

- 4 (d) the fee is paid in Australian currency; and
5 (e) the amount of the fee in Australian currency is ascertained in
6 accordance with the regulations.

7 **227AB Application of administrative law regime to decisions made** 8 **in New Zealand**

9 *Judicial review*

- 10 (1) For the purposes of the application of the *Administrative Decisions*
11 *(Judicial Review) Act 1977* to a decision under this Act, it is
12 immaterial whether the decision was made in New Zealand.

13 Note: See also the *Trans-Tasman Proceedings Act 2010*.

- 14 (2) For the purposes of subsection (1), **decision** has the same meaning
15 as in the *Administrative Decisions (Judicial Review) Act 1977*.

16 *Merits review*

- 17 (3) For the purposes of the application of the *Administrative Appeals*
18 *Tribunal Act 1975* to a decision under this Act, it is immaterial
19 whether the decision was made in New Zealand.

20 Note: See also the *Trans-Tasman Proceedings Act 2010*.

- 21 (4) For the purposes of subsection (3), **decision** has the same meaning
22 as in the *Administrative Appeals Tribunal Act 1975*.

23 **39 Section 227A (heading)**

24 Repeal the heading, substitute:

25 **227A Trans-Tasman IP Attorneys Board**

26 **40 Subsection 227A(1)**

27 Repeal the subsection, substitute:

- 28 (1) The body known immediately before the commencement of this
29 subsection as the Professional Standards Board for Patent and
-

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

1 Trade Marks Attorneys is continued in existence as the
2 Trans-Tasman IP Attorneys Board.

3 Note 1: In this Act, **Board** means the Trans-Tasman IP Attorneys Board—see
4 Schedule 1.

5 Note 2: See also section 25B of the *Acts Interpretation Act 1901*.

6 **41 Subsection 227A(2)**

7 Omit “Professional Standards Board” (wherever occurring), substitute
8 “Board”.

9 **42 After subsection 227A(2)**

10 Insert:

11 *Membership of the Board*

12 (2A) The Board consists of the following members:

- 13 (a) a Chair;
- 14 (b) the Director-General of IP Australia;
- 15 (c) the New Zealand Commissioner of Patents;
- 16 (d) at least 2 members nominated by the New Zealand Patents
17 Minister to represent the New Zealand patent attorney
18 profession;
- 19 (e) at least 2 other members.

20 (2B) The total number of members of the Board must not exceed 10.

21 *Appointment of members of the Board*

22 (2C) Each member of the Board mentioned in paragraph (2A)(a), (d) or
23 (e) is to be appointed by the Minister by written instrument.

24 Note: For reappointment, see the *Acts Interpretation Act 1901*.

25 (2D) A person is not eligible for appointment as a member of the Board
26 mentioned in paragraph (2A)(a), (d) or (e) unless the Minister is
27 satisfied that the person has:

- 28 (a) substantial experience or knowledge; and
- 29 (b) significant standing;

30 in at least one of the following fields:

- 31 (c) Australian patent attorney practice;
-

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

- 1 (d) New Zealand patent attorney practice;
2 (e) Australian trade mark attorney practice;
3 (f) the regulation of persons engaged in a prescribed occupation;
4 (g) public administration;
5 (h) academia.

6 (2E) A member of the Board holds office on a part-time basis.

7 *Period of appointment for members of the Board*

8 (2F) A member of the Board mentioned in paragraph (2A)(a), (d) or (e)
9 holds office for the period specified in the instrument of
10 appointment. The period must not exceed:

- 11 (a) in the case of the member mentioned in paragraph (2A)(a)—
12 3 years; or
13 (b) otherwise—5 years.

14 Note: For reappointment, see the *Acts Interpretation Act 1901*.

15 *Appointment of deputy of Director-General of IP Australia*

16 (2G) The Director-General of IP Australia may appoint an APS
17 employee to be his or her deputy for the purpose of attendance at
18 one or more specified meetings of the Board.

19 (2H) If:

- 20 (a) a person is the deputy of the Director-General of IP Australia
21 for the purpose of attendance at a particular meeting of the
22 Board; and
23 (b) the Director-General of IP Australia is absent from the
24 meeting;

25 the person is entitled to attend the meeting and, when so attending,
26 is taken to be a member of the Board.

27 (2J) A deputy of the Director-General of IP Australia is not entitled to
28 any remuneration or allowances for attending a meeting of the
29 Board (other than remuneration or allowances payable to the
30 deputy in his or her capacity as an APS employee).

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

1 *Appointment of deputy of New Zealand Commissioner of Patents*

2 (2K) The New Zealand Commissioner of Patents may appoint a New
3 Zealand patents official to be his or her deputy for the purpose of
4 attendance at one or more specified meetings of the Board.

5 (2L) If:

6 (a) a person is the deputy of the New Zealand Commissioner of
7 Patents for the purpose of attendance at a particular meeting
8 of the Board; and

9 (b) the New Zealand Commissioner of Patents is absent from the
10 meeting;

11 the person is entitled to attend the meeting and, when so attending,
12 is taken to be a member of the Board.

13 (2M) A deputy of the New Zealand Commissioner of Patents is not
14 entitled to any remuneration or allowances for attending a meeting
15 of the Board (other than remuneration or allowances payable to the
16 deputy in his or her capacity as a New Zealand patents official).

17 **43 Paragraph 227A(3)(a)**

18 Repeal the paragraph, substitute:

19 (a) the terms and conditions on which members of the Board
20 mentioned in paragraph (2A)(a), (d) or (e) hold office; and

21 (aa) the manner in which members of the Board mentioned in
22 paragraph (2A)(a), (d) or (e) may resign their appointments;
23 and

24 (ab) the termination of the appointment of members of the Board
25 mentioned in paragraph (2A)(a), (d) or (e); and

26 **44 Paragraphs 227A(3)(b) and (c)**

27 Omit “Professional Standards Board”, substitute “Board”.

28 **45 Subsections 227A(4) and (5)**

29 Omit “Professional Standards Board”, substitute “Board”.

30 **46 At the end of section 227A**

31 Add:

32 (7) The Board may perform its functions in Australia or New Zealand.

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

1 **47 Subparagraph 228(2)(r)(ia)**

2 Omit “Professional Standards Board”, substitute “Board”.

3 **48 After subsection 228(4)**

4 Insert:

5 (4A) If the regulations confer a function on a person or body, the
6 regulations may provide that the function may be performed in
7 Australia or New Zealand.

8 (4B) If the regulations confer a power on a person or body, the
9 regulations may provide that the power may be exercised in
10 Australia or New Zealand.

11 (4C) If the regulations provide that application may be made to the
12 Administrative Appeals Tribunal for review of a decision, the
13 regulations may provide that it is immaterial whether the decision
14 was made in New Zealand.

15 (4D) The regulations may provide that it is immaterial whether an act or
16 omission mentioned in the regulations took place in New Zealand.

17 (4E) The regulations may provide that it is immaterial whether a matter
18 mentioned in the regulations concerns something that took place in
19 New Zealand.

20 **49 Schedule 1**

21 Insert:

22 *Board* means the Trans-Tasman IP Attorneys Board continued in
23 existence by section 227A.

24 **50 Schedule 1 (definition of *company*)**

25 Repeal the definition, substitute:

26 *company* means:

- 27 (a) a company registered under the *Corporations Act 2001*; or
28 (b) a company registered under the Companies Act 1993 of New
29 Zealand.

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

1 **51 Schedule 1**

2 Insert:

3 *Director-General of IP Australia* means the SES employee who
4 holds or performs the duties of the position of Director-General of
5 IP Australia.

6 **52 Schedule 1 (at the end of the definition of *file*)**

7 Add:

8 Note: See also section 214.

9 **53 Schedule 1**

10 Insert:

11 *New Zealand Assistant Commissioner of Patents* means a person
12 who holds or performs the duties of an office or position of
13 Assistant Commissioner of Patents under or in accordance with a
14 law of New Zealand.

15 **54 Schedule 1**

16 Insert:

17 *New Zealand Commissioner of Patents* means the person who
18 holds or performs the duties of the office or position of
19 Commissioner of Patents under or in accordance with a law of
20 New Zealand.

21 **55 Schedule 1**

22 Insert:

23 *New Zealand delegate* means a New Zealand patents official who
24 is a delegate under subsection 209(1A).

25 **56 Schedule 1**

26 Insert:

27 *New Zealand Patents Minister* means the Minister of New
28 Zealand who:
29 (a) under the authority of a warrant; or
30 (b) with the authority of the Prime Minister of New Zealand;

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

1 is responsible for the administration of a law of New Zealand
2 relating to the regulation of patent attorneys.

3 **57 Schedule 1**

4 Insert:

5 *New Zealand patents official* means a person:

6 (a) who is an employee in any part of the State services of New
7 Zealand; and

8 (b) whose functions or duties relate to the administration of a law
9 of New Zealand relating to patents for inventions.

10 **58 Schedule 1 (definition of *Professional Standards Board*)**

11 Repeal the definition.

12 **59 Schedule 1**

13 Insert:

14 *Registrar of Companies of New Zealand* means the person who
15 holds or performs the duties of the office or position of Registrar of
16 Companies under or in accordance with the Companies Act 1993
17 of New Zealand.

18 ***Plant Breeder's Rights Act 1994***

19 **60 Subsection 3(1)**

20 Insert:

21 *address* has a meaning affected by subsection (2).

22 **61 Subsection 3(2)**

23 Repeal the subsection, substitute:

24 *Electronic address*

25 (2) After the time specified in the regulations, a reference in this Act to
26 an *address* includes a reference to an electronic address.

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

1 (3) The time specified under subsection (2) must be later than the day
2 on which the regulations are registered under the *Legislative*
3 *Instruments Act 2003*.

4 (4) Subsection (2) of this section does not apply to the following
5 references to an **address**:

6 (a) a reference in subsection 26(2);

7 (b) the first reference in subsection 26(3).

8 (5) For the purposes of this Act, the question of whether an electronic
9 address is in Australia is to be determined in accordance with the
10 regulations.

11 (6) For the purposes of this Act, the question of whether an electronic
12 address is in New Zealand is to be determined in accordance with
13 the regulations.

14 **62 After subsection 19(5)**

15 Insert:

16 (5A) An address given under paragraph (5)(c) must be an address in
17 Australia or New Zealand.

18 **63 Subsection 21(5)**

19 After “Australia”, insert “or New Zealand”.

20 **64 Subsection 26(3)**

21 After “overseas”, insert “in a country other than New Zealand”.

22 **65 Subsection 26(3)**

23 After “Australia” (first occurring), insert “or New Zealand”.

24 **66 Subsection 26(3)**

25 Omit “a postal address in Australia”, substitute “an address in Australia
26 or New Zealand”.

27 **67 Subsection 31(3)**

28 After “Australia”, insert “or New Zealand”.

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 1 Amendments

1 **68 Section 73**

2 Repeal the section, substitute:

3 **73 Service of documents**

4 If:

5 (a) this Act provides for a document to be served on, or given or
6 sent to, a person; and

7 (b) the person has given the Secretary or the Registrar an address
8 in Australia or New Zealand for service;

9 the document may be served on, or given or sent to, the person by a
10 prescribed means to that address.

11 ***Trade Marks Act 1995***

12 **69 Readers guide (list of terms defined in section 6)**

13 Insert the following term in its appropriate alphabetical position:

14 “Board”.

15 **70 Readers guide (list of terms defined in section 6)**

16 Omit “Professional Standards Board”.

17 **71 Subsection 6(1)**

18 Insert:

19 *Board* has the same meaning as in the *Patents Act 1990*.

20 **72 Subsection 6(1) (definition of *Professional Standards***
21 ***Board*)**

22 Repeal the definition.

23 **73 At the end of subsection 215(5)**

24 Add “or New Zealand”.

25 **74 Paragraph 215(6)(a)**

26 Repeal the paragraph, substitute:

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Australia New Zealand Single Economic Market **Schedule 4**
Amendments **Part 1**

- 1 (a) if the person has an address for service—the document may
2 be served on, or given or sent to, the person by a prescribed
3 means to that address; or

4 **75 Paragraph 215(6)(b)**

5 After “Australia” (first occurring), insert “or New Zealand”.

6 **76 Paragraph 215(6)(b)**

7 Omit “post”, substitute “a prescribed means”.

8 **77 Paragraph 215(6)(b)**

9 After “Australia” (second occurring), insert “or New Zealand”.

10 **78 At the end of section 215**

11 Add:

- 12 (8) After the time specified in the regulations, a reference in this
13 section to an *address* includes a reference to an electronic address.
- 14 (9) The time specified under subsection (8) must be later than the day
15 on which the regulations are registered under the *Legislative*
16 *Instruments Act 2003*.
- 17 (10) For the purposes of this section, the question of whether an
18 electronic address is in Australia is to be determined in accordance
19 with the regulations.
- 20 (11) For the purposes of this section, the question of whether an
21 electronic address is in New Zealand is to be determined in
22 accordance with the regulations.

23 **79 Subsection 228A(5)**

24 Omit “the Professional Standards Board”, substitute “the Board”.

25 **80 Subsection 228A(5) (note)**

26 Omit “*Professional Standards Board*”, substitute “*Board*”.

27 **81 Subparagraph 231(2)(ha)(ia)**

28 Omit “Professional Standards Board”, substitute “Board”.

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 2 Transitional provisions

Part 2—Transitional provisions

82 Transitional—registration as a patent attorney

- (1) The Designated Manager must:
- (a) register as a patent attorney an individual who, immediately before the commencement of this item:
 - (i) was registered as a patent attorney under a law of New Zealand; and
 - (ii) was not a registered patent attorney (within the meaning of the *Patents Act 1990*); and
 - (b) do so as soon as practicable after the commencement of this item.
- (2) The registration is to consist of entering the individual's name in the Register of Patent Attorneys.
- (3) For the purposes of the *Patents Act 1990*, the registration is taken to be under that Act.

83 Transitional—qualification for registration as a patent attorney

- (1) A qualification specified in, or ascertained in accordance with, regulations made for the purposes of paragraph 198(4)(b) of the *Patents Act 1990* may consist of passing examinations conducted in New Zealand, so long as:
- (a) the examinations are specified in those regulations; and
 - (b) at least one of those examinations was passed before the commencement of this item; and
 - (c) the remaining examinations are passed before the end of the 4-year period beginning at the commencement of this item.
- (2) Regulations authorised by subitem (1) do not apply to examinations passed by an individual unless the individual applies for registration as a patent attorney under section 198 of the *Patents Act 1990* within 6 months after the completion of the last of those examinations.
- (3) Subitem (1) does not limit paragraph 198(4)(b) of the *Patents Act 1990*.

EXPOSURE DRAFT

Australia New Zealand Single Economic Market **Schedule 4**
Transitional provisions **Part 2**

84 Transitional—conduct of patent attorneys

- 1
2 (1) Grounds prescribed for the purposes of section 199 of the *Patents Act*
3 *1990* may relate to conduct that took place in New Zealand before the
4 commencement of this item.
- 5 (2) Subitem (1) does not limit section 199 of the *Patents Act 1990*.

85 Transitional—registration as a trade marks attorney

- 6
7 (1) If:
8 (a) immediately before the commencement of this item, an
9 individual:
10 (i) was registered as a patent attorney under a law of New
11 Zealand; and
12 (ii) was not a registered trade marks attorney (within the
13 meaning of the *Trade Marks Act 1995*); and
14 (b) within 12 months after the commencement of this item, the
15 individual applies to the Designated Manager to be registered
16 as a trade marks attorney; and
17 (c) the application is in accordance with the regulations; and
18 (d) the individual satisfies the Designated Manager, in
19 accordance with the regulations, that the individual's level of
20 competency in trade marks law and practice is sufficient to
21 warrant the individual becoming a registered trade marks
22 attorney; and
23 (e) the individual has not been convicted of a prescribed offence
24 during the 5-year period ending when the application was
25 made; and
26 (f) the individual is not under sentence of imprisonment for a
27 prescribed offence;
28 the Designated Manager must register the individual as a trade marks
29 attorney.
- 30 (2) The registration is to consist of entering the individual's name in the
31 Register of Trade Marks Attorneys.
- 32 (3) For the purposes of the *Trade Marks Act 1995*, the registration is taken
33 to be under that Act.

EXPOSURE DRAFT

Schedule 4 Australia New Zealand Single Economic Market

Part 2 Transitional provisions

- 1 (4) The Governor-General may make regulations for the purposes of this
2 item.
- 3 (5) It is immaterial whether a matter mentioned in paragraph (1)(d), (e) or
4 (f) concerns something that happened in New Zealand.
- 5 (6) A reference in this item to *conviction* of an offence includes a reference
6 to:
- 7 (a) the making of an order under section 19B of the *Crimes Act*
8 *1914* in relation to the offence; or
- 9 (b) the making of an order under a corresponding provision of a
10 law of:
- 11 (i) a State; or
12 (ii) a Territory; or
13 (iii) New Zealand;
14 in relation to the offence.

EXPOSURE DRAFT

Other amendments **Schedule 5**
Document retention **Part 1**

1 **Schedule 5—Other amendments**

2 **Part 1—Document retention**

3 **Division 1—Amendments**

4 *Designs Act 2003*

5 **1 Paragraph 69(3)(b)**

6 Omit “design; and”, substitute “design.”.

7 **2 Paragraph 69(3)(c)**

8 Repeal the paragraph.

9 *Patents Act 1990*

10 **3 Paragraph 228(2)(u)**

11 Repeal the paragraph.

12 *Trade Marks Act 1995*

13 **4 Paragraph 231(2)(h)**

14 Repeal the paragraph.

15 **Division 2—Application of amendments**

16 **5 Application of amendments**

17 The amendments made by this Part apply in relation to material and
18 documents provided or filed before, on or after the commencement of
19 this Part.

EXPOSURE DRAFT

Schedule 5 Other amendments

Part 2 Technical amendments

1 **Part 2—Technical amendments**

2 **Division 1—Amendments**

3 ***Patents Act 1990***

4 **6 Section 24 (heading)**

5 Repeal the heading, substitute:

6 **24 Validity not affected by making information available in certain**
7 **circumstances**

8 **7 Section 29A (note)**

9 Repeal the note.

10 **8 At the end of section 29**

11 Add:

12 (6) An applicant is not entitled to ask that any action be taken, or that
13 he or she be allowed to take any action, under this Act in relation
14 to a PCT application unless the following requirements of
15 subsection (5) have been met (if applicable):

16 (a) a translation of the application into English has been filed;

17 (b) the prescribed documents have been filed;

18 (c) the prescribed fees have been paid.

19 Note: A failure to comply with subsection (5) may also result in the PCT
20 application lapsing: see paragraph 142(2)(f).

21 **9 Subsection 29B(2)**

22 Omit “within the prescribed period”.

23 **10 Subsection 29B(6)**

24 Omit “subsection (1)”, substitute “the definition of *Convention country*
25 in subsection (5)”.

1 **11 Before subsection 40(2)**

2 Insert:

3 *Requirements relating to complete specifications*

4 **12 Before subsection 41(1)**

5 Insert:

6 *Provisional specifications*

7 (1A) A specification is taken to comply with subsection 40(1), so far as
8 it requires a description of a micro-organism, if:

- 9 (a) the micro-organism is deposited with a prescribed depository
10 institution in accordance with such provisions of the
11 Budapest Treaty as are applicable; and
12 (b) the prescribed circumstances apply.

13 *Complete specifications*

14 **13 Paragraph 43(2A)(b)**

15 After “discloses”, insert “, or a prescribed set of prescribed documents
16 considered together disclose,”.

17 **14 After subsection 43(2A)**

18 Insert:

19 (2B) A prescribed document, or a prescribed set of prescribed
20 documents considered together, is taken to disclose the invention in
21 a claim as mentioned in paragraph (2A)(b) so far as such disclosure
22 requires a description of a micro-organism, if:

- 23 (a) the micro-organism is deposited with a prescribed depository
24 institution in accordance with such provisions of the
25 Budapest Treaty as are applicable; and
26 (b) the prescribed circumstances apply.

27 **15 At the end of subparagraph 101E(1)(a)(ix)**

28 Add “and”.

EXPOSURE DRAFT

Schedule 5 Other amendments

Part 2 Technical amendments

1 **16 Paragraph 119(3)(b)**

2 Omit “through any publication or use of the invention”.

3 **17 Subsection 178(4)**

4 Omit “subsection (1) or (2)”, substitute “this section”.

5 **18 Subsection 191A(4)**

6 Omit “a declaration, or rectify the Register, under this section”,
7 substitute “a declaration under subsection (2), or rectify the Register
8 under subsection (3),”.

9 **19 Paragraph 224(1)(a)**

10 Omit “or 142(2)(b)”.

11 **Division 2—Application of amendments**

12 **20 Application of amendments**

- 13 (1) The amendments made by items 6 and 16 apply in relation to
14 information that is made publicly available at or after the time those
15 items commence.
- 16 (2) The amendments made by items 7, 8 and 9 apply in relation to
17 applications made at or after the time those items commence.
- 18 (3) The amendment made by item 12 applies in relation to provisional
19 applications made at or after the time that item commences.
- 20 (4) The amendments made by items 13 and 14 apply in relation to:
21 (a) patents for which the complete application is made at or after
22 the time those items commence; and
23 (b) standard patents for which the application had been made
24 before the time those items commence, if the applicant had
25 not asked for an examination of the patent request and
26 specification for the application under section 44 of the
27 *Patents Act 1990* before that time; and
28 (c) innovation patents granted at or after the time those items
29 commence, if the complete application to which the patent
30 relates had been made before that time; and

EXPOSURE DRAFT

Other amendments **Schedule 5**
Technical amendments **Part 2**

- 1 (d) complete patent applications made at or after the time those
2 items commence; and
- 3 (e) complete applications for standard patents made before the
4 time those items commence, if the applicant had not asked
5 for an examination of the patent request and specification for
6 the application under section 44 of the *Patents Act 1990*
7 before that time; and
- 8 (f) complete applications for innovation patents made before the
9 time those items commence, if a patent had not been granted
10 in relation to the application on or before that time; and
- 11 (g) innovation patents granted before the time those items
12 commence, if:
- 13 (i) the Commissioner had not decided to examine the
14 complete specification relating to the patent under
15 section 101A of the *Patents Act 1990* before that time;
16 and
- 17 (ii) the patentee or any other person had not asked the
18 Commissioner to examine the complete specification
19 relating to the patent under section 101A of the *Patents*
20 *Act 1990* before that time.
- 21 (5) The amendment made by item 18 applies on and after the day that item
22 commences in relation to patents granted before, on or after that
23 commencement.